

Missouri Ethics Commission James Klahr, Executive Director

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# 2018 Personal Financial Disclosure (PFD) filing deadlines

#### 2018 Candidates:

If you are required to file a PFD as a candidate in the April or August elections, the due dates are:

Election Date	14-Day Due Date	21-Day Due Date
April 3, 2018	January 30, 2018	February 6, 2018
August 7, 2018	April 10, 2018	April 17, 2018

Failure to file by 14-day due date will result in \$10 a day late fees. Failure to file by the 21-day due date will result in disqualification and removal from the ballot, unless your subdivision has a conflict of interest ordinance or policy on file with the MEC. If filing deadlines are then not met, penalties (if any) are assessed by the subdivision according to its ordinance.

#### **Annual Filers:**

If your name was submitted to our office by a political subdivision (county, city, school district, fire district, etc.) as a person required to file a Personal Financial Disclosure (PFD) due to a position held in 2017, you should have received a letter with instructions for efiling along with your MEC Online ID and Password. You must file your PFD by **5:00 pm on May 1, 2018**.

If you fail to file by May 1, 2018, you will be assessed a late filing fee of \$10.00 per day. After 30 days the fee increases to \$100.00 per day, not to exceed \$6,000.00. Additional penalties may also apply.

An annual filer who is also a candidate should refer to the due dates above, which are earlier. Annual filers must file for each year (or portion of a year) for which they hold a position that requires the filing of a PFD.



In 2018, the MEC is marking 25 years since its founding. In recognition, MEC will be hosting on open house on Friday, March 9 at our offices. More details to follow on our website.

# CANDIDATE C E N T R A L

A resource for navigating through record-keeping, reporting and committee requirements. Get started

Campaign Finance FAQs
Candidates & committees
can find answers to
common questions here

#### Inside this issue:

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# Article VIII, Section 23 Contribution Limits & Prohibitions - Candidates

- Provisions impose campaign contribution limits on candidates for state office including statewide offices, legislative offices and judicial offices
- There are no contribution limits on candidates for local offices\*
- A candidate committee cannot accept contributions from or make contributions to another candidate committee
- Corporations or labor organizations are prohibited from making contributions (monetary or in-kind) to political parties or candidate, exploratory, and political party committees. (See opinions, 2017.02.CF.005, 2017.02.CF.006 and 2017.03.CF.00)

While the contributions limits do not apply to local candidates\*, there are numerous provisions that apply to all candidate committees, including local candidates. These provisions deem candidate committees:

- Cannot make contributions to political action committees/continuing committees (PACs)
- Can receive contributions from (PACs)
- Can make contributions to political party committees
- Can receive contributions from political party committees

\*Some municipalities have local contribution limits (e.g., Kansas City). Verify with governing body.

#### **Questions about contribution limits?**

Find Advisory Opinions and FAQs related to MO Constitutional Article VIII, Sec. 23 here

**Our Mission:** The MEC serves the public interest by promoting and maintaining transparency, accountability, and compliance with campaign finance, lobbying, and conflict of interest laws. We educate and assist the citizens of Missouri, public officials, lobbyists, and those participating in public elections by increasing awareness and understanding of the law. We investigate and enforce these laws consistently.

# **Lobbyist Reminders**

Lobbyist Renewal was due Jan 5th, 2018. If lobbying, must register within five days of beginning lobbying activity.

Verify current registration info by logging-in to lobbyist e-file system.

#### **List of Principals and Legislative Action Report**:

This report is filed twice each year due on March 15 and May 30 and filed with MEC. Include general description of proposed legislative action which the lobbyist (or lobbyist principal) supported or opposed.

#### **Lobbyist Reporting System**

The MEC is currently working toward revamping its lobbyist reporting system. We anticipate the system being operational sometime after the 2018 legislative session. Some of the features being considered are mobile friendly reporting and the ability to file an expenditure as it occurs (we call it a quick expenditure). If you have an interest in testing the system, please contact us.

#### **Lobbying Requirements**

Sections 105.465 &130.097, RSMo

Any person who registers as a lobbyist and also has a candidate committee must dissolve the candidate committee and disburse all money of the committee by:



- Returning a contribution to the person or entity making the contribution,
- Donating to a 501(c)(3) nonprofit entity, or
- Transferring funds to a political party committee.

No person who registers as a lobbyist can transfer funds from any committee such person controls to another committee controlled by a candidate or public official.

For additional information regarding lobbyist requirements see, advisory opinions and Lobbyist FAQs

## **MEC Employee Spotlight**

#### Kristy Englebrecht (Auditor/Investigator)

It is our pleasure to introduce the newest member of the MEC team, Kristy Engelbrecht. As an Auditor/Investigator for the Commission, Kristy will bring significant experience working on investigative matters from both the Division of Alcohol and Tobacco Control and more recently with the Missouri Department of Social Services, Family Support Division. In addition to her investigative experience, Kristy has experience working as a paralegal with the Missouri Public Service Commission

## **Ballot Measures: Use of Public Funds**

## Is your jurisdiction placing a question on the ballot?

If your public body anticipates putting a ballot measure before the voters in 2017, please be aware of Section 115.646, RSMo. That law provides that:

No contribution or expenditure of public funds shall be made directly by any officer, employee or agent of any political subdivision to advocate, support, or oppose any ballot measure or candidate for public office. This section shall not be construed to prohibit any public official of a political subdivision from making public appearances or from issuing press releases concerning any such ballot measure.

### How to:

Search "Use of Public funds" decisions

- 1. Go to the MEC website
- 2. Choose <u>Commission Business</u> at the top of the page.
- 3. At the very bottom of the Commission Business page click Commission Actions
- 4. Click **Advanced Search** (third gray tab on the right)
- 5. Go to Step 3 and select Complaint Category, Use of **Public Funds**
- 6. Click Search

#### **Advisory Opinions**

The Commission has also issued previous opinions about Use of Public Funds. To view them:

- 1. Go to the MEC Conflict of Interest Page
- 2. Next select the Advisory Opinions link
- 3. Then choose **Opinion Topic**: Conflict of Interest
- 4. Choose Category: Use of Public Funds

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