



MISSOURI ETHICS COMMISSION
STATEMENT OF INVESTMENTS
OTHER THAN SAVINGS ACCOUNTS

INSTRUCTIONS ON REVERSE SIDE

OFFICE USE ONLY

1. NAME OF COMMITTEE	2. REPORT DATE
----------------------	----------------

A. INVESTMENTS MADE THIS PERIOD

3. DESCRIPTION OF INVESTMENTS	4. NAME(S) AND ADDRESS(ES) OF PERSON(S) INVOLVED IN TRANSACTION	5. DATE OF TRANSACTION	6. AMOUNT
	NAME		
	ADDRESS		
	NAME		
	ADDRESS		
	NAME		
	ADDRESS		

B. INVESTMENTS FROM WHICH INTEREST OR DIVIDENDS WERE RECEIVED THIS PERIOD

7. DESCRIPTION OF INVESTMENTS	8. NAMES(S) AND ADDRESS(ES) OF PERSON(S) INVOLVED IN TRANSACTION	9. DATE OF TRANSACTION	10. PRINCIPAL	11. INTEREST
	NAME			
	ADDRESS			
	NAME			
	ADDRESS			
12. TOTAL: INTEREST OR DIVIDENDS RECEIVED THIS PERIOD (SUM COLUMN 11)				\$

C. INVESTMENTS HELD AT CLOSE OF THIS PERIOD

13. NAME AND DESCRIPTION OF INVESTMENT	14. NAME OF INSTITUTION	15. AMOUNT
16. TOTAL: ALL INVESTMENTS HELD AT CLOSE OF THIS PERIOD (SUM COLUMN 15)		\$

STATEMENT OF INVESTMENTS INSTRUCTIONS

PURPOSE:

This form is used to report any investments made by your committee during the reporting period, and the status of any investments currently held in the form on certificates of deposit, bonds or securities. Funds held in savings accounts are not reported on this form but are included in Items 25 and 28 (Money on Hand) of Form CD-Summary.

CONTENT OF FORM:

- Item 1:** Enter the full name of your committee.
Item 2: Enter the date this report is being submitted.

SECTION A: INVESTMENTS MADE THIS PERIOD

Enter the following information regarding each investment entered into with committee funds during this reporting period including renewals of CD's.

- Column 3:** Briefly describe the investment including the name or type (Bond, CD, etc.), identifying numbers (if any), and maturity date (if any).
Column 4: List the name and address of the person, institution, firm, etc., with whom the transaction was made. Also list the name and address of the individual who acted on behalf of the committee in the transaction.
Column 5: Enter the date the investment was made.
Column 6: Enter the principal amount which was invested.

SECTION B: INVESTMENTS FROM WHICH INTEREST OR DIVIDENDS WERE RECEIVED THIS PERIOD

Enter the following information regarding investments from which funds (interest, dividends or principal) were transferred to the committee's official fund depository account during the reporting period:

- Column 7:** Briefly describe the investment including name or type, identifying numbers (if any), and maturity date (if any).
Column 8: List the name and address of the person, institution, firm, etc., with whom the transaction was made. Also list the name and address of the individual who acted on behalf of the committee in the transaction.
Column 9: Enter the date funds were received as a result of maturity, sale, payment of dividends, etc.
Column 10: Enter the amount of principal value of investment.
Column 11: Enter the amount of interest or dividends earned from the investment.
Column 12: Enter the total amount of interest or dividends transferred to the official fund depository account during this reporting period (sum of Column 11).

SECTION C: INVESTMENTS HELD AT THE CLOSE OF THIS PERIOD

Enter the following information regarding investments held by your committee at the close of the reporting period.

- Column 13:** Briefly describe the investment including name or type, identifying numbers (if any), and maturity date (if any).
Column 14: Enter the name of the institution (bank, savings and loan, etc.) in which the investment is held.
Column 15: Enter the total value of the investment.
Item 16: Enter the total value of all investments held by the committee (sum of Column 15).