

### STATE OF MISSOURI

# MISSOURI ETHICS COMMISSION P. O. BOX 1370 JEFFERSON CITY, MISSOURI 65102

573/751-2020 1-800/392-8660

Amended March 26, 2008

The Missouri Ethics Commission, at its November 8, 2007 meeting, took the following actions:

An opinion was issued in response to the following:

Re: Campaign Finance Reporting-Opinion No. 2007.11.CF.012 Re: Campaign Finance Reporting-Opinion No. 2007.11.CF.013

The following cases were dismissed against these Respondents as the allegations were unsubstantiated:

07E149 Derl Bernard, Saline Co.

07E033 Parkway School District, St. Louis

07E129A Andrea Dyke, Jackson Co., Sections 130.011 and 130.041 RSMo.

07E129B Herman Kirn, Jackson Co., Sections 130.011 and 130.041 RSMo.

07E129C Robert Hedberg, Jackson Co., Sections 130.011 and 130.041 RSMo.

07E129D Teresa Held, Jackson Co., Sections 130.011 and 130.041 RSMo.

<u>07E122 Daniel Depung</u>, Jackson Co.

07E121 Frank Lewis, Clinton Co

<u>07E071 Richard Veit</u>, St. Charles Co., Sections 130.047, 130.047(3)(D), (4)(C)and (8) RSMo.

<u>07E070 William Clark Hardin IV</u>, St. Charles Co., Sections 130.047, 130.047(3)(D), (4)(C)and (8) RSMo.

<u>07E150 Cures without Cloning</u>, Cole Co., Sections 130.041.3 and 130.057.3 RSMo.

The following cases were closed with a letter:

<u>07E151 Nixon for Governor, Cole Co.</u>, Section 130.041 RSMo.

<u>07E106 Committee supporting the recall of Kathryn Hofmann</u>, Jackson Co., Section 130.041 RSMo.

<u>07E131 Cures without Cloning</u>, Cole Co., Section 130.046.2(1) RSMo. <u>07E150 Cures without Cloning</u>, Cole Co., Sections 130.046.2(1) and 130.041 RSMo.

The following was discussed:

## 07R111 Jill Pigg, Franklin Co., Section 130.046.1(2) and (3) RSMo.

The Commission accepted a signed Joint Stipulations of Facts and Waiver of Hearing, Conclusions of Law and issued a Consent Order that imposed a penalty in the amount of \$1,500. If respondent pays a fee of \$150.00 within 45 days after the date of the consent order, the remainder of the penalty will be stayed for two years. If Respondent has not committed any further violations of the campaign finance disclosure laws pursuant to Chapter 130, RSMo 2000, during the two year stay then Respondent will not be required to pay the balance of this penalty. If however, Respondent is found to have violated the campaign finance laws pursuant to Chapter 130, RSMo 2000, during the two year stay, Respondent will be required to pay the balance of the penalty as originally imposed by the Commission. The amount of the balance will be due immediately upon final adjudication finding Respondent guilty of the violation.

## 07A052 Bruce Williams, St. Louis, Section 130.046.1 RSMo.

The Commission accepted a signed Joint Stipulations of Facts and Waiver of Hearing, Conclusions of Law and issued a Consent Order that imposed a penalty in the amount of \$11,000. If respondent pays a fee of \$1,100.00 within 45 days after the date of the consent order, the remainder of the penalty will be stayed for two years. If Respondent has not committed any further violations of the campaign finance disclosure laws pursuant to Chapter 130, RSMo 2000, during the two year stay then Respondent will not be required to pay the balance of this penalty. If however, Respondent is found to have violated the campaign finance laws pursuant to Chapter 130, RSMo 2000, during the two year stay, Respondent will be required to pay the balance of the penalty as originally imposed by the Commission. The amount of the balance will be due immediately upon final adjudication finding Respondent guilty of the violation.

### 07A015 Errol S. Bush, St. Louis, Section 130.031.2, 130.072 RSMo.

The Commission accepted a signed Joint Stipulations of Facts and Waiver of Hearing, Conclusions of Law and issued a Consent Order that imposed a penalty in the amount of \$6,000. If respondent pays a fee of \$600.00 within 45 days after the date of the consent order, the remainder of the penalty will be stayed for two years. If Respondent has not committed any further violations of the campaign finance disclosure laws pursuant to Chapter 130, RSMo 2000, during the two year stay then Respondent will not be required to pay the balance of this penalty. If however, Respondent is found to have violated the campaign finance laws pursuant to Chapter 130, RSMo 2000, during the two year stay, Respondent will be required to

pay the balance of the penalty as originally imposed by the Commission. The amount of the balance will be due immediately upon final adjudication finding Respondent guilty of the violation.

07R109 Mike Wells, Franklin Co., Section 130.021.5, 130.046.1(1) and (3) RSMo.

The Commission accepted a signed Joint Stipulations of Facts and Waiver of Hearing, Conclusions of Law and issued a Consent Order that imposed a penalty in the amount of \$1,600. If respondent pays a fee of \$160.00 within 45 days after the date of the consent order, the remainder of the penalty will be stayed for two years. If Respondent has not committed any further violations of the campaign finance disclosure laws pursuant to Chapter 130, RSMo 2000, during the two year stay then Respondent will not be required to pay the balance of this penalty. If however, Respondent is found to have violated the campaign finance laws pursuant to Chapter 130, RSMo 2000, during the two year stay, Respondent will be required to pay the balance of the penalty as originally imposed by the Commission. The amount of the balance will be due immediately upon final adjudication finding Respondent guilty of the violation.

## <u>07A014 Donna Cushman</u>, Section 130.041.1, 130.046.1 RSMo.

The Commission accepted a signed Joint Stipulations of Facts and Waiver of Hearing, Conclusions of Law and issued a Consent Order that imposed a penalty in the amount of \$20,000. If respondent pays a fee of \$2000.00 within 45 days after the date of the consent order, the remainder of the penalty will be stayed for two years. If Respondent has not committed any further violations of the campaign finance disclosure laws pursuant to Chapter 130, RSMo 2000, during the two year stay then Respondent will not be required to pay the balance of this penalty. If however, Respondent is found to have violated the campaign finance laws pursuant to Chapter 130, RSMo 2000, during the two year stay, Respondent will be required to pay the balance of the penalty as originally imposed by the Commission. The amount of the balance will be due immediately upon final adjudication finding Respondent guilty of the violation.

### 07A014A Martha Bader, Section 130.046 RSMo.

The Commission accepted a signed Joint Stipulations of Facts and Waiver of Hearing, Conclusions of Law and issued a Consent Order that imposed a penalty in the amount of \$5,000. If respondent pays a fee of \$500.00 within 45 days after the date of the consent order, the remainder of the penalty will be stayed for two years. If Respondent has not committed any further violations of the campaign finance disclosure laws pursuant to Chapter 130, RSMo 2000, during the two year stay then Respondent will not be required to pay the balance of this penalty. If however, Respondent is found to have violated the campaign finance laws pursuant to Chapter 130, RSMo 2000, during the two year stay, Respondent will be required to pay the balance of the penalty as originally imposed by the Commission. The

amount of the balance will be due immediately upon final adjudication finding Respondent guilty of the violation.

<u>07A091 Benjamin DeClue</u>, Section 130.046.1(3), 130.041.1(3)(a), 130.041.1(4) RSMo. The Commission accepted a Findings of Fact, Conclusions of Law and Order that imposed a penalty in the amount of \$23,800. However, if Respondent pays \$2,380 within 45 days and brings the outstanding reports up to date in that time period, and, in addition, avoids any additional violations for a period of two years, the Commission will accept the \$2,380 as payment. However, if there are violations, Respondent will owe the original amount of \$23,800.

<u>07A098 Jeff O'Connell</u>, Section 130.046.1(3), 130.041.1(3)(a), 130.041.1(4) RSMo. The Commission accepted a Findings of Fact, Conclusions of Law and Order that imposed a penalty in the amount of \$3,000. However, if Respondent pays a fee of \$300 pursuant to Sections 105.961.4(6) and 130.071, RSMo, within 45 days after the date of the Consent Order and avoids any additional violations for a period of two years, the Commission will accept the \$300 as payment. However, if there are violations, Respondent will owe the original amount of \$3,000.

The following was discussed at a special public session:

The Commission directed the Executive Director to follow the audit procedure in relation with committees which may have accepted contributions over the limits contained in Section 130.032 RSMo, and to inform the committees of the statutory enforcement process.